

外國賬戶稅務合規法案自我聲明
FATCA Self-Certification

致：利銘證券有限公司（「公司」）
To: BULLISH SECURITIES LIMITED (“Company”)

請以“✓”號回答以下問題：
Please tick “✓” the answer for each of the following questions:

1. 閣下是否美國納稅人？ 是 否
Are you a U.S. Taxpayer? Yes No
2. 閣下是否美國公民？ 是 否
Are you a U.S. Citizen? Yes No
3. 閣下是否美國居民？ 是 否
Are you a U.S. Resident? Yes No
4. 閣下是否持有美國永久居民身份證（綠卡）？ 是 否
Do you hold a U.S. Permanent Resident Card (Green Card)? Yes No
5. 如對以上問題 1-4 回覆其中一項為“是”，請提供閣下之美國稅務編號：
If the reply to any of Question 1-4 above is “Yes”, please provide your U.S. Taxpayer Identification Number (TIN):
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我行將會稍後提供有關表格給您填寫。
We will provide relevant form to you for filing in later.

本人確認以上提供的資料是真實、準確及完整。
I hereby confirm the information provided above is true, accurate and complete.

本人保證本人向 貴公司提供的任何資料如有變更，本人會於三十日內通知 貴公司。
I undertake to notify the Company within 30 calendar days if there is a change in any information that I have provided to the Company.

如我們未能於 2015 年 12 月 31 日前收到閣下簽回本“外國賬戶稅務合規法案自我聲明書”，本公司則將閣下賬戶分類為非 FATCA 之身份，如閣下對 FATCA 身份有任何疑問，請與閣下之稅務及法律專家獲取相關意見。

If we do not receive the “Foreign Account Tax Compliance Act of self-declaration”, your account will be classified as non FATCA account. If you have any questions about FATCA status, please contact your tax and legal experts access to relevant advice.

客戶簽署 Customer Signature

日期 Date:

中文姓名 Chinese Name:

英文姓名 English Name:

客戶編號 Account Number: